

SERIAL NO.
10/768,303

FILING DATE:
1/29/2004

GROUP:
NYA

[illegible][illegible]

10/24/2007

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Page 1 of 2


Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 15437-0602	Application Number: 10/768,303
		First Named Inventor: Ozgur C. Leonard, et al.	
		Filing Date: 1/29/2004	
OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
/EW/		Communications from the ACM (ISSN: 0001-0782) Volume 44, Issue 2 (2001) entitled "An Operating System Approach to Securing E-Services" by Chris Dalton and Tse Huong Choo, ACM Copyright Notice, © 2001, (8 pgs).	
/EW/		Sun Microsystems, Inc. entitled "Server Virtualization with Trusted Solaris™ 8 Operating Environment, by Glenn Faden, Sun BluePrints™ OnLine – February 2002, http://www.sun.com/blueprints , (21 pgs).	
/EW/		Network Working Group entitled "IP Version 6 Addressing Architecture", by R. Hinden, Nokia, S. Deering, Cisco System, dtd July 1998, (28 pgs).	
/EW/		IBM entitled "Partitioning for the IBM eserver pSeries 690 System, © Copyright IBM Corp. 2001 (12 pgs).	
/EW/		IBM System Partitioning on IBM eserver xSeries Servers entitled "Effective Server Consolidation and Resource Management with System Partitioning" by Mark T. Chapman, IBM Server Group, dtd December 2001, (23 pgs).	
/EW/		Virtual Private Servers and Security Contexts, dtd 5/10/2004, http://www.soluCorp.qc.ca/miscprj/s_content:hc?prjstate=1&nodoc=0 , (2 pgs).	
/EW/		SunSoft, a Sun Microsystems, Inc. Business entitled "File System Administration", © 1994 Sun Microsystems, Inc., (62 pgs).	
/EW/		Jails: Confining the omnipotent root., by Poul-Henning Kamp and Robert N.M. Watson, entitled "The FreeBSD Project", http://www.servetheweb.com/ , (15 pgs).	

Examiner Signature	/Eric Wai/	Date Considered	10/24/2007
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION 				ATTY. DOCKET NO. 15437-0602		SERIAL NO. 10/768,303	
				APPLICANT: Ozgur C. Leonard, et al.			
				FILING DATE: January 29, 2004		GROUP: 2186	
U.S. PATENT DOCUMENTS							
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (If known)				
/EW/		5,325,526		Cameron, et al.	6/28/1994		
/EW/		5,845,116		Saito, et al.	12/1/1998		
/EW/		5,437,032		Wolf, et al.	6/25/1995		

FOREIGN PATENT DOCUMENTS									
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)					
/EW/		EP	1282038	A2	Tanaka, Katsuyoshi	2/5/2003			
/EW/		EP	1043658	A1	Rogier, Pierre	10/11/2000			

OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
/EW/		European Search Report from the European Patent Office for Foreign Patent Application No. 04252690.5 (3 pgs.)	
/EW/		Claims As Filed in European Patent Application No. 04252690.5 (6 pgs.)	

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		APPLICANT: Ozgur C. Leonard et al.		
		FILING DATE: January 29, 2004		GROUP: 2186

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/EW/		Overview of Bandwidth Broker System [online], [retrieved on January 31, 2005]. Retrieved from the internet: <URL: http://www.ittc.ku.edu/~kdrao/845/into.html >, (19 pgs).	
/EW/		QBone Signaling Design Team Final Report [online], [retrieved on January 31, 2005]. Retrieved from the internet: <URL: ">http://qos.internet2.edu/wg/documents-informational/20020709-chimento-et-al-qbone-signaling.> , (33 pgs).	

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/EW/		Official Action from EPO for foreign patent application no. 04 252 690.5 - 2211 dated 10 June 2005 (6 pgs) – attached.	
/EW/		Current Claims in EPO patent application no. 04 252 690.5 - 2211 (9 pgs) – attached.	

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/EW/		2002/0156824	A1	Armstrong et al.	10-24-2002		

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/EW/		EP	1300766	A	Sun Microsystems Inc.	04-09-2003		

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/EW/		EUROPEAN PATENT OFFICE, "European Search Report," application No. 04252689.7, mailing date July 28, 2005, 3 pages.	
/EW/		Current Claims, European patent application 04252689.7, 6 pages.	
/EW/		SUN MICROSYSTEMS, "Sun Enterprise™ 1000 Server: Dynamic System Domains," White Paper Online, February 26, 2003, retrieved from the internet at < http://www.sun.com/servers/highend/whitepapers/domains.html?facet=-1 >, retrieved on 06/21/2005, XP-002332946, 7 pages.	

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/EW/		Official Action from EPO for foreign patent application no. 04 252 690.5 - 221:1 dated 23 November 2005 (5 pgs) – attached.	
/EW/		Current Claims in EPO patent application no. 04 252 690.5 - 2211 (9 pgs) – attached.	

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/EW/		2002/0161817	A1	Dorofeev et al.	10-31-2002		

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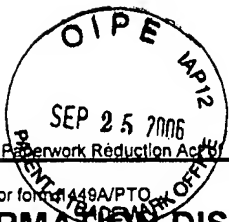
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/EW/		Official Action from EPO for foreign patent application no. 04252689.7 dated 4 July 2006 (6 pgs) – attached.	
/EW/		Current Claims in EPO patent application no. 04252689.7 (<u>6</u> pgs) – attached.	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number 10/768,303
Filing Date January 29, 2004
First Named Inventor Ozgur C. Leonard
Art Unit 2186
Examiner Name NYA
Attorney Docket Number 15437-0602

Sheet 1

of 1

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/EW/		US-2002/0120660 A1	08-29-2002	Hay et al.	
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Signature

/Eric Wai/

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First Named Inventor	Ozgur Leonard
Art Unit	2195
Examiner Name	Wai, Eric Charles
Attorney Docket Number	15437-0602

Sheet	1	of	2
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/768,303
Filing Date	January 29, 2004
First Named Inventor	Ozgur Leonard
Art Unit	2195
Examiner Name	Wai, Eric Charles
Attorney Docket Number	15437-0602

Sheet

2

of

2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/EW/		HEWLETT-PACKARD, "Installing and Managing HP-UX Virtual Partitions (vPars)", Third Edition, Part Number T1335-90018, Copyright Hewlett-Packard Company, November 2002, pages 1-4, 17-44, 72-75, and 157-161.	
/EW/		HOPE, PACO, "Using Jails in FreeBSD for Fun and Profit", ;Login: The Magazine of Usenix and Sage, Volume 27, Number 3; June 2002, 9 pages.	
/EW/		KAMP, POUL-HENNING, "Rethinking / dev and devices in the UNIX kernel", BSDCon 2002 Paper, retrieved from website < http://www.usenix.org/events/bsdcon02/full_papers/kamp/kamp_html/index.html > Printed May 1, 2007, 18 pages.	
/EW/		THOMPSON, K., "UNIX Implementation", Bell Laboratories, The Bell System Technical Journal, 1978, pages 1-9.	

Examiner
Signature

/Eric Wai/

Date
Considered

10/24/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Customer Ref. No. SUN040111-US-NP

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/768,303	
			Filing Date	January 29, 2004	
			First Named Inventor	Ozgur Leonard	
			Art Unit	2195	
			Examiner Name	Wai, Eric Charles	
			Attorney Docket Number	15437-0602	
Sheet	1	of	1		

U.S.PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ² Number ⁴ Kind Code ⁵ (# known)				

Examiner Signature	/Eric Wai/	Date Considered	10/24/2007
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			Filing Date	January 29, 2004	
			First Named Inventor	Ozgur Leonard	
			Art Unit	2195	
			Examiner Name	NYA	
			Attorney Docket Number	15437-0602	
Sheet	1	of	2		

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		Country Code ³ Number ⁴ Kind Code ⁵ (# known)				
/EW/		EP 389151 A2	09-26-1990	IBM		

Examiner Signature	/Eric Wai/	Date Considered	10/24/2007
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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/768,303
Filing Date	January 29, 2004
First Named Inventor	Ozgur Leonard
Art Unit	2195
Examiner Name	NYA
Attorney Docket Number	15437-0602

Sheet 2

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/EW/		CZAJKOWSKI, G., "Application isolation in the Java Virtual Machine", 2000, ACM Press, Proceedings of the 15 th ACM SIGPLAN Conference on Object-Oriented Programming, Systems, Languages, and Applications, pp. 354-366.	
/EW/		CZAJKOWSKI, G., "Multitasking without compromise: a virtual machine evolution", ACM Press, Proceedings of the 16 th ACM SIGPLAN Conference on Object Oriented Programming, Systems, Languages, and Applications, dated October 2001, pp. 125-138.	
/EW/		OSMAN, S., ET AL., "The design and implementation of Zap: a system for migrating computing environments", SIGOPS Operating System, Rev. 36, SI, dated December 2000, pp. 361-376.	
/EW/		PRESOTTO ET AL., "Interprocess Communication in the Ninth Edition Unix System", John Wiley & Sons, Ltd., dated March 1990, 4 pages.	
/EW/		STEVENS, "Advanced programming in the Unix Environment", Addison-Wesley, 1993, pages 427-436.	
/EW/		WATSON, "TrustedBSD - Adding Trusted Operating System Features to FreeBSD", The USENIX Association, 2001, 14 pages.	
/EW/		NOORDENDE ET AL., "Secure and Portable Confinement of Untrusted Programs", ACM, 2002, 14 pages.	
/EW/		HOPE, "Using Jails in FreeBSD for fun and profit", ;Login: The Magazine of USENIX & SAGE, volume 27, number 3, dated June 2002, pages 48-55.	

Examiner Signature	/Eric Wai/	Date Considered	10/24/2007
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Customer Ref. No. SUN040111-US-NP



Attorney Docket No. 15437-0602

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ozgur C. Leonard, et al.
Serial No.: 10/768,303
Filed on: January 29, 2004

) Confirmation No.: 6314
)
) Examiner: NYA
)
) Group Art Unit No.: 2186
)

For: MECHANISM FOR ASSOCIATING RESOURCE POOLS WITH OPERATING
SYSTEM PARTITIONS

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DISCLOSURE PURSUANT TO 37 CFR §1.56
OF RELATED APPLICATION(S)

Sir:

Applicant(s) would like to bring to the Examiner's attention that the following
applications,

U.S. Application/ Pat. No.	File Date	Atty. Docket. No.
10/833,474	4/27/2004	15437-0582
10/767,118	1/28/2004	15437-0583
10/767,117	1/28/2004	15437-0584
10/766,094	1/27/2004	15437-0586
10/744,360	12/22/2003	15437-0588
10/763,147	1/21/2004	15437-0589
10/769,415	1/30/2004	15437-0591
10/761,622	1/20/2004	15437-0592
10/767,003	1/28/2004	15437-0593
10/762,067	1/20/2004	15437-0597
10/762,066	1/20/2004	15437-0598
10/767,235	1/28/2004	15437-0599
10/771,827	2/3/2004	15437-0600
10/771,698	2/3/2004	15437-0601

Not Considered

applicant need to
put in the "related app"
section of the spec
in order for
examiner to consider

10/29/07
EW